



**REQUEST FOR EARLY NOTIFICATION OF SERIAL NUMBER**

Inventor(s): Osamu KAWANOBE

Title: CONTROL DEVICE OF AUTOMOTIVE POWER PIVOT DOOR

Atty Doc. #: Q79702 Client: ISP CORPORATION

Filing Date: April 09, 2004 # Pgs. Spec/Abst: 22/1 #Claims: 10

# Dwg. Sheets: 3 Decl Yes Prelim Amdt No  
(MODIFIED) PTO/SB/08 A & B

IDS/Prior Art: Yes Pr Doc: Yes Asgmt: Yes Fee: \$770/40

PTO FORM 1595

☒ Check Attached ☐ Charge to Deposit # 19-4880 Atty/Sec: AJK/tao

SERIAL NO.:

CONF NO.:

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q79702

Osamu KAWANOBE

Appln. No.: Not yet assigned

Confirmation No.: not yet assigned

Group Art Unit: not yet assigned

Filed: April 09, 2004

Examiner: not yet assigned

For: CONTROL DEVICE OF AUTOMOTIVE POWER PIVOT DOOR

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**FILED**

APR - 9 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
Attorney Docket No. Q79702

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanation:

Japanese Laid-Open Patent Publication no. 2001-107642 is cited and discussed on page 2 of the specification of the present application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

*Sheldon L. Landsman, Reg. No. 25,430*  
Alan J. Kasper  
Registration No. 25,426

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: April 9, 2004

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

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of

Complete if Known

|                        |                  |
|------------------------|------------------|
| Application Number     | Not yet assigned |
| Confirmation Number    | not yet assigned |
| Filing Date            | April 09, 2004   |
| First Named Inventor   | Osamu KAWANOBE   |
| Art Unit               | not yet assigned |
| Examiner Name          | not yet assigned |
| Attorney Docket Number | Q79702           |

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number |   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|-----------------------|--------------------------|-----------------|---|--------------------------------|---|
|                       |                          | Number          | Kind<br>Code <sup>2</sup><br>(if known) |                                |   |
|                       |                          | US              |   |                                |   |
|                       |                          | US              |   |                                |   |
|                       |                          | US              |   |                                |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document      |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Translation <sup>6</sup> |
|-----------------------|--------------------------|------------------------------|---------------------|--------------------------------------|--------------------------------|--|--------------------------|
|                       |                          | Country<br>Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |                          |
|                       |                          | JP                           | 2001-107642         | A                                    | 04-17-2001                     |  |                          |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

**FILING RECEIPT**  
**PLEASE DATE STAMP AND RETURN TO US - BOX 235X**

In re application of

Osamu KAWANOBE

Appln. No.: 10/820,778

Confirmation No.: 8809

Filed: April 9, 2004

For: CONTROL DEVICE OF AUTOMOTIVE POWER PIVOT DOOR

Group Art Unit: 3636

Examiner: not yet assigned

**PAPER(S) FILED ENTITLED:**

1. Information Disclosure Statement (with a copy of Communication from a foreign patent office and PTO/SB/08 A & B (modified))
2. Statement Under 37 C.F.R. § 1.704(d)

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

DOCKET NO.: Q79702

ATTORNEY/SEC: AJK/eb

Date Filed: August 4, 2004

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER



75

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q79702

Osamu KAWANOBE

Appln. No.: 10/820,778

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Examiner: not yet assigned

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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**FILED**

AUG - 4 2004

Sir:

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One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/820,778

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

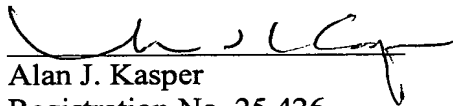
Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

  
Alan J. Kasper  
Registration No. 25,426

Date: August 4, 2004

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q79702

Osamu KAWANOBE

Appln. No.: 10/820,778

Group Art Unit: 3636

Confirmation No.: 8809

Examiner: not yet assigned

Filed: April 9, 2004

For: CONTROL DEVICE OF AUTOMOTIVE POWER PIVOT DOOR

**STATEMENT UNDER 37 C.F.R. § 1.704(d)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

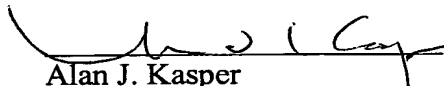
Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

  
Alan J. Kasper  
Registration No. 25,426

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 4, 2004



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| Substitute for Form 1449 A & B/PTO<br><br><b><u>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</u></b><br><br><i>(use as many sheets as necessary)</i> |   |    |   | <i>Complete if Known</i> |                  |
|   |   |    |   | Application Number       | 10/820,778       |
|   |   |    |   | Confirmation Number      | 8809             |
|   |   |    |   | Filing Date              | April 9, 2004    |
|   |   |    |   | First Named Inventor     | Osamu KAWANOBE   |
|   |   |    |   | Art Unit                 | 3636             |
|   |   |    |   | Examiner Name            | not yet assigned |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | Q79702           |

| U.S. PATENT DOCUMENTS |                       |                 |                                      |                                |   |
|-----------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|                       |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                       |                       | US 5,986,420    | A                                    | 11/16/1999                     | Kato  |
|                       |                       | US 5,448,856    | A                                    | 09/12/1995                     | Moore   |
|                       |                       | US 6,092,236    | A                                    | 07/25/2000                     | Wright  |
|                       |                       | US 6,185,868    | B1                                   | 02/13/2001                     | Kato  |
|                       |                       | US 6,398,288    | B1                                   | 06/04/2002                     | Yuge  |
|                       |                       | US              |                                      |                                |   |
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| FOREIGN PATENT DOCUMENTS |                       |                           |                     |                                      |                                |   |                          |
|--------------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
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| NON PATENT LITERATURE DOCUMENTS |                       |  |                          |
|---------------------------------|-----------------------|--|--------------------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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| Examiner Signature |  | Date Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q79702

Osamu KAWANOBE

Appln. No.: 10/820,778

Group Art Unit: 2837

Confirmation No.: 8809

Examiner: Renata McCloud

Filed: April 09, 2004

For: CONTROL DEVICE OF AUTOMOTIVE POWER PIVOT DOOR

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Supplementary to the Information Disclosure Statements filed on April 9 and August 4, 2004, and in accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

U.S. Patent No. 6,092,336.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
U.S. Appln. No.: 10/820,778

Attorney Docket No.: Q79702

waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

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Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

  
Bhaskar Kakarla  
Registration No. 54,627

Date: November 22, 2005

Substitute for Form 1449 A &amp; B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(use as many sheets as necessary)**Complete if Known*

|                        |                |
|------------------------|----------------|
| Application Number     | 10/820,778     |
| Confirmation Number    | 8809           |
| Filing Date            | April 09, 2004 |
| First Named Inventor   | Osamu KAWANOBE |
| Art Unit               | 2837           |
| Examiner Name          | Renata McCloud |
| Attorney Docket Number | Q79702         |

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**U.S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
|                    |                       | Number          | Kind Code <sup>2</sup><br>(if known) |                                |   |
|                    |                       | US 6,092,336    | A                                    | 07-25-2000                     | Kevin WRIGHT                                    |
|                    |                       | US              |                                      |                                |   |
|                    |                       | US              |                                      |                                |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   |                     |                                      | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation <sup>6</sup> |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
|                    |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |   |                          |
|                    |                       | JP                        | 2001-107642         | A                                    | 04-17-2001                     | OHI SEISAKUSHO CO., LTD                         | Abstract                 |
|                    |                       |                           |                     |                                      |                                |   |                          |
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**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation <sup>6</sup> |
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